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FORM PTO-1595 (Modified)

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/31/2002)

P06/REV04

## RECORDATION FORM COVER SHEET

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Jung Han

## 2. Name and address of receiving party(ies):

Name: The University of Manitoba

Internal Address: 631 Drake Center

Winnipeg Manitoba Canada R3T 5V4

Street Address:

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☐ No

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 27th November 2006

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Filing Date

B. Patent No.(s)

10/564,679

12/22/2003

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ADE &amp; COMPANY INC.

Internal Address: 2157 Henderson Highway

Winnipeg Manitoba Canada R2G 1P9

Street Address:

City: State: ZIP:

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

01-0310

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael R. Williams

Name of Person Signing

Signature

December 11, 2006

Date

Total number of pages including cover sheet, attachments, and document:

5

Mail documents to be recorded with required cover sheet information to:  
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**ASSIGNMENT**

Whereas, Jung Han whose full post office addresses are Tech Transfer Office 631 Drake Centre University of Manitoba Winnipeg Manitoba Canada R3T 5V4 (hereinafter called "ASSIGNOR") in consideration of One (\$1.00) Dollar and other good and valuable considerations paid to it by

**UNIVERSITY OF MANITOBA**

whose full post office address is Industry Liaison Office 631 Drake Centre University of Manitoba Winnipeg Manitoba Canada R3T 5V4 (hereinafter called the "ASSIGNEE") the receipt and sufficiency of which is hereby acknowledged, does hereby sell, assign, transfer and set over to the said ASSIGNEE, its successors and assigns, the entire right, title and interest, throughout the world, in and to the inventions and Letters Patents already granted, identified and described in the patents identified in the attached Schedule A, and/or all divisions, reissues, continuations, improvements, and extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, assigns or other legal representatives, to the full end of the term or terms for which said Letters Patents may be granted, as fully and entirely as the same would have been held and enjoyed by said ASSIGNOR if this assignment and sale had not been made;

For the consideration aforesaid, ASSIGNOR hereby covenants and agrees to and with the said ASSIGNEE, its successors and assigns, that whenever *ASSIGNEE'S counsel or representative*, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with one or more of said Letters Patents, or inventions, including interference proceedings, is lawful and desirable, or that a reissue

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or continuation or extension of one or more of said Letters Patents is lawful and desirable, ASSIGNOR will sign all documents, instruments, papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for said invention, or for the reissue or continuation or extension of the said Letters Patent, and will do all acts necessary or required to secure to the said ASSIGNEE, its successors, and assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at ASSIGNEE'S expense;

For the consideration aforesaid, ASSIGNOR has sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto the said ASSIGNEE, its successors, and assigns, or the nominees of any of them, the entire right, title and interest in and to any and all Letters Patents for said inventions granted in countries foreign to the United States and Canada, and in and to any Letters Patent identified and described in Schedule A.

and ASSIGNOR hereby covenants and agrees to sign all papers and drawings, take all rightful oaths, execute all rightful affidavits, and do all acts necessary or required to be done for the investing or confirming the right and title thereto in the ASSIGNEE, its successors, assigns or nominees, without charge to said ASSIGNEE, its successors, assigns or nominees, but at ASSIGNEE'S expense,

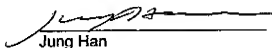
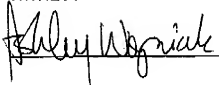
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ASSIGNOR declares under penalty of perjury under the laws of the United States of America that ASSIGNOR has signed this document as ASSIGNOR'S own free act and that all of the foregoing is true and correct.

Signed at

This 27<sup>th</sup> day of November, 2006

WITNESS:

  
Jung Han

RECEIPT ACKNOWLEDGED:

\_\_\_\_\_

  
University of Manitoba

Name: DEBORAH McCALLUM

Title: VP (ADMIN)

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SCHEDULE A

<b>COUNTRY</b>	<b>ISSUE DATE FILING DATE</b>	<b>PATENT NO./ APPLICATION NO.</b>	<b>TITLE</b>
Canada	December 22, 2003	2,533,620	Dissolving film comprising a therapeutically active agent within the film or in a pouch formed by the film
United States		10/564,679	Dissolving film comprising a therapeutically active agent within the film or in a pouch formed by the film